



Receipt

ATTORNEY DOCKET NO. 25748.4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Milward, et al.

Serial No.: 09/752,845

Filed: December 28, 2000

For: COMPUTER IMPLEMENTED METHOD FOR
REFORMATTING LOGICALLY COMPLEX
CLAUSES IN AN ELECTRONIC TEXT-BASED
DOCUMENT

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Art Unit: 2176

Examiner: Unknown

REQUEST TO CORRECT FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231
Attention: Office of Initial Patent Examination's
Customer Service Center

Dear Sir:

Attached is a copy of the official filing receipt received from the U.S. Patent and Trademark Office in the above-identified patent application in which issuance of a corrected filing receipt is respectfully requested.

Applicant filed the above-identified application as a large entity, but erroneously indicated small entity status on the Utility Patent Application Transmittal (copy enclosed). The applicant does have large entity status.

I have included a copy of our transmittal of Submission of Fees Pursuant to 37 C.F.R. § 1.28(c) supporting this request.

Please charge any additional fees or credit any overpayment to Deposit Account No. 08-1394. A duplicate copy of this sheet is enclosed.

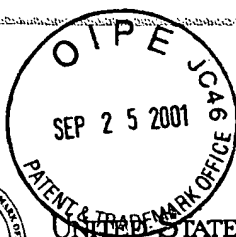
Respectfully submitted,

David L. McCombs
Registration No. 32,271

Date: 21 SEP 01
HAYNES AND BOONE, LLP
901 Main Street, Suite 3100
Dallas, Texas 75202-3789
Telephone: 214/651-5533
Facsimile: 214/651-5940
File: 25748.4

D-940343.1request for corrected filing receipt - change
of.wpd

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, Attention: Office of Initial Patent Examination's Customer Service Center
on <u>9-21-01</u> <u>Dayle Conner</u>



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/752,845	12/28/2000	2176	420	25748.4	2	12	1

CONFIRMATION NO. 1023

RECEIVED

UPDATED FILING RECEIPT

SEP 10 2001



OC000000006531239

David L. McCombs
Haynes and Boone, LLP
Suite 3100
901 Main Street
Dallas, TX 75202

HAYNES & BOONE L.L.P.

Date Mailed: 09/07/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

David R. Milward, Cambridge, UNITED KINGDOM;
Robert G. Corbin, Chippenham, UNITED KINGDOM;
Stephen G. Pulman, Thriplow, UNITED KINGDOM;

Domestic Priority data as claimed by applicant**Foreign Applications****If Required, Foreign Filing License Granted 02/22/2001****Projected Publication Date: 07/04/2002****Non-Publication Request: No****Early Publication Request: No****** SMALL ENTITY ******Title**

Computer implemented method for reformatting logically complex clauses in an electronic text-based document

Preliminary Class

CODE	DATE	ACTION
IDS	3.28.01	PREPARE IDS
FFB	6.28.01	PREP. FOREIGN FILING CLIENT LETTER

BY: THB 9/14/01

707

Data entry by : LUENG, YIN

Team : OIPE

Date: 09/07/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

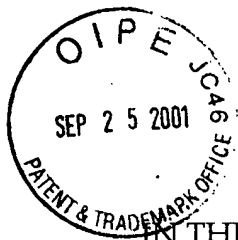
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



DOCKET NO. 25748.4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Milward, et al.

Serial No.: 09/752,845

Filed: 12/28/2000

For: COMPUTER IMPLEMENTED
METHOD FOR REFORMATTING
LOGICALLY COMPLEX CLAUSES
IN AN ELECTRONIC TEXT-BASED
DOCUMENT

§ Assignee: Innogy PLC

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§ Group Art Unit: 2176

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§ Examiner: Unknown

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§ LARGE ENTITY STATUS CLAIMED

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Commissioner for Patents
Washington, DC 20231

SUBMISSION OF FEES PURSUANT TO 37 C.F.R. § 1.28(c)

Dear Sir:

Applicants request the above-identified application be withdrawn from erroneously claimed SMALL ENTITY STATUS.

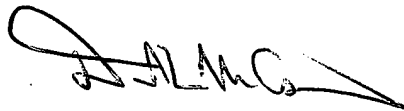
The subject Application was originally filed on December 28, 2000 as a large entity, but erroneously indicated small entity status on the Utility Patent Application Transmittal (copy enclosed). Applicant is a large entity and a check (copy of cancelled check enclosed) for the filing fee of \$710.00 was submitted at the time of the original filing. However, we received a credit on your Monthly Statement of Deposit Account, dated February 28, 2001, in the amount of \$355.00.

Therefore, two checks are enclosed, one in the amount of \$355.00 covering the \$355.00 credit made to our deposit account and the other for \$510.00 for the remaining

fees for Response to Missing Parts (a copy of the original transmittal is attached). The Commissioner is hereby authorized to credit any overpayment or charge any deficiencies in fees to the Deposit Account of Haynes and Boone, LLP 08-1394. **This form is submitted in duplicate.**

As information only, enclosed also is a copy of the Request to Correct Filing Receipt and Updated Filing Receipt sent under separate cover to the Office of Initial Patent Examination's Customer Service Center.

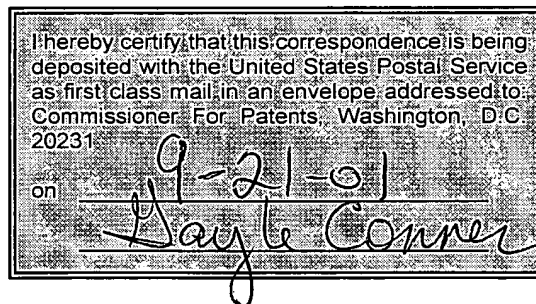
Respectfully submitted,



David L. McCombs
Registration No. 32,271

Date: 21 SEP 01

HAYNES AND BOONE, L.L.P.
901 Main Street, Suite 3100
Dallas, Texas 75202-3789
Telephone: 214/651-5533
Facsimile: 214/651-5940
File: 25748.4



d-940182.1Submission of Fees for Large Entity Status Cla.wpd

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

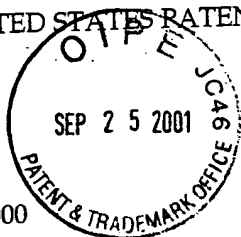
COPY

In re application of:
Milward et al.

Serial No.: 09/752,845

Filed: December 28, 2000

For: COMPUTER IMPLEMENTED METHOD
FOR REFORMATTING LOGICALLY
COMPLEX CLAUSES IN AN ELECTRONIC
TEXT-BASED DOCUMENT



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Art Unit: 2176

Examiner: Unknown

SMALL ENTITY STATUS CLAIMED

RESPONSE AND TRANSMITTAL OF MISSING PARTS OF APPLICATION

Commissioner of Patents
Washington, D. C. 20231
ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of NonProvisional Application Filing Date Granted mailed February 23, 2001, regarding the above-identified application, Applicant submits the following:

1. Return copy of the Notice to File Missing Parts dated February 23, 2001;
2. Executed Declaration/Power of Attorney (3 sheets);
3. Petition for Three-Month Extension of Time (in triplicate);
4. A check in the amount of \$510.00 (\$65.00 for payment of the surcharge fee and \$445.00 for three-month extension fee);
5. Letter to the Official Draftsperson and two (2) sheets of formal drawings; and
6. Return postcard

The Commissioner is hereby authorized to charge any further fees associated with this communication or to credit any overpayment to Deposit Account No. 08-1394. **This transmittal letter is submitted in duplicate.**

Respectfully submitted,

David L. McCombs
Registration No. 32,271

Date: 7-16-01
HAYNES AND BOONE, LLP
901 Main Street, Suite 3100
Dallas, Texas 75202-3789
Phone: 214/651-5533
Fax: 214/651-5940
File: 25748.4
d-915984.1

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231	
on	<u>7-17-01</u>
<u>Dayle Connor</u>	

HAYNT, AND BOONE, LLP
901 Main Street, Suite 3100
Dallas, Texas 75202-3788
Telephone (214) 851-5000
Fax (214) 851-5940

Bank of America, N.A.
Wichita Falls, Texas

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TO
THE
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OF

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DATE 12/28/2000

VOID 90 DAYS AFTER DATE

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0147 2134 09 21600

James A. Boone

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Please type a plus sign (+) inside
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
for use through 10/31/2002. OMB 0851-0032

UTILITY PATENT APPLICATION TRANSMITTAL SEP 25 2001 OFFICE OF THE PATENT AND TRADEMARK COMMISSIONER	Attorney Docket No.	25748.4
	First Inventor	Milward, et al.
	Title	Computer Implemented Method for Reformatting Log Complex Clauses in an Electronic Text-Based Document
	Express Mail Label No.	EL418587494US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Pages <u>36</u>] (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive title of the invention- Cross Reference to Related Applications- Statement Regarding Fed sponsored R & D- Reference to sequence listing, a table, or a computer program listing appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF)
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>5</u>]	b. Specification Sequence Listing on: <ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> paper
5. Oath or Declaration [Total Pages <u>3</u>] <ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 17 completed)i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	c. <input type="checkbox"/> Statements verifying identity of above copies
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	ACCOMPANYING APPLICATION PARTS

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____

Prior application information:

Examiner: _____

Group I Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label



or ☐ Correspondence address below

Name	David L. McCombs				
	Haynes and Boone, LLP				
Address	901 Main Street, Suite 3100				
City	Dallas	State	Texas	Zip Code	75202
Country	USA	Telephone	214.651.5533	Fax	214.200.0526

Name (Print/Type)	David L. McCombs	Registration No. (Attorney/Agent)	32,271
Signature			Date 12-28-00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on
the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC
20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application,
Washington, DC 20231.

HAYNES AND BOONE, LLP

901 Main Street Suite 3100
Dallas, Texas 75202-3789
Telephone: (214) 651-5000
Fax: (214) 651-5940

Bank of America, N.A.
Wichita Falls, Texas

1221293

DATE 09/20/2001

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1119

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\$ *** 355.00

TO
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ORDER
OF

Commissioner of Patents

James W. Gorman

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⑈1221293⑈ ⑆111901302⑆ 000188808313⑈

HAYNES AND BOONE, LLP

901 Main Street Suite 3100
Dallas, Texas 75202-3789
Telephone: (214) 651-5000
Fax: (214) 651-5940

Bank of America, N.A.
Wichita Falls, Texas

1221292

DATE 09/20/2001

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OF

Commissioner of Patents

James W. Gorman

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